

Vendor: Databricks

Exam Code: Certified-Data-Engineer-Professional

Exam Name: Databricks Certified Data Engineer

Professional

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QUESTION 1

An upstream system has been configured to pass the date for a given batch of data to the Databricks Jobs API as a parameter. The notebook to be scheduled will use this parameter to load data with the following code:

df = spark.read.format("parquet").load(f"/mnt/source/(date)")

Which code block should be used to create the date Python variable used in the above code block?

- A. date = spark.conf.get("date")
- B. input_dict = input() date= input_dict["date"]
- C. import sys date = sys.argv[1]
- D. date = dbutils.notebooks.getParam("date")
- E. dbutils.widgets.text("date", "null") date = dbutils.widgets.get("date")

Answer: E

Explanation:

The code block that should be used to create the date Python variable used in the above code block is:

```
dbutils.widgets.text("date", "null") date = dbutils.widgets.get("date")
```

This code block uses the dbutils.widgets API to create and get a text widget named "date" that can accept a string value as a parameter. The default value of the widget is "null", which means that if no parameter is passed, the date variable will be "null". However, if a parameter is passed through the Databricks Jobs API, the date variable will be assigned the value of the parameter. For example, if the parameter is "2021-11-01", the date variable will be "2021-11-01". This way, the notebook can use the date variable to load data from the specified path.

QUESTION 2

The Databricks workspace administrator has configured interactive clusters for each of the data engineering groups. To control costs, clusters are set to terminate after 30 minutes of inactivity. Each user should be able to execute workloads against their assigned clusters at any time of the day.

Assuming users have been added to a workspace but not granted any permissions, which of the following describes the minimal permissions a user would need to start and attach to an already configured cluster.

- A. "Can Manage" privileges on the required cluster
- B. Workspace Admin privileges, cluster creation allowed. "Can Attach To" privileges on the required cluster
- C. Cluster creation allowed. "Can Attach To" privileges on the required cluster
- D. "Can Restart" privileges on the required cluster
- E. Cluster creation allowed. "Can Restart" privileges on the required cluster

Answer: D

Explanation:

https://learn.microsoft.com/en-us/azure/databricks/security/auth-authz/access-control/cluster-acl https://docs.databricks.com/en/security/auth-authz/access-control/cluster-acl.html



QUESTION 3

When scheduling Structured Streaming jobs for production, which configuration automatically recovers from query failures and keeps costs low?

- A. Cluster: New Job Cluster; Retries: Unlimited; Maximum Concurrent Runs: Unlimited
- B. Cluster: New Job Cluster; Retries: None: Maximum Concurrent Runs: 1
- C. Cluster: Existing All-Purpose Cluster; Retries: Unlimited; Maximum Concurrent Runs: 1
- D. Cluster: Existing All-Purpose Cluster; **Retries: Unlimited:** Maximum Concurrent Runs: 1
- E. Cluster: Existing All-Purpose Cluster; Retries: None: Maximum Concurrent Runs: 1

Answer: D

Explanation:

The configuration that automatically recovers from query failures and keeps costs low is to use a new job cluster, set retries to unlimited, and set maximum concurrent runs to 1. This configuration has the following advantages:

A new job cluster is a cluster that is created and terminated for each job run. This means that the cluster resources are only used when the job is running, and no idle costs are incurred. This also ensures that the cluster is always in a clean state and has the latest configuration and libraries for the job.

Setting retries to unlimited means that the job will automatically restart the guery in case of any failure, such as network issues, node failures, or transient errors. This improves the reliability and availability of the streaming job, and avoids data loss or inconsistency. Setting maximum concurrent runs to 1 means that only one instance of the job can run at a time. This prevents multiple queries from competing for the same resources or writing to the same output location, which can cause performance degradation or data corruption. Therefore, this configuration is the best practice for scheduling Structured Streaming jobs for production, as it ensures that the job is resilient, efficient, and consistent.

QUESTION 4

The data engineering team has configured a Databricks SQL guery and alert to monitor the values in a Delta Lake table. The recent sensor recordings table contains an identifying sensor id alongside the timestamp and temperature for the most recent 5 minutes of recordings.

The below query is used to create the alert:

```
SELECT MEAN(temperature), MAX(temperature), MIN(temperature)
FROM recent sensor recordings
GROUP BY sensor id
```

The query is set to refresh each minute and always completes in less than 10 seconds. The alert is set to trigger when mean (temperature) > 120. Notifications are triggered to be sent at most



every 1 minute.

If this alert raises notifications for 3 consecutive minutes and then stops, which statement must be true?

- A. The total average temperature across all sensors exceeded 120 on three consecutive executions of the query
- B. The recent sensor recordingstable was unresponsive for three consecutive runs of the query
- C. The source query failed to update properly for three consecutive minutes and then restarted
- D. The maximum temperature recording for at least one sensor exceeded 120 on three consecutive executions of the query
- E. The average temperature recordings for at least one sensor exceeded 120 on three consecutive executions of the query

Answer: E

Explanation:

This is the correct answer because the query is using a GROUP BY clause on the sensor id column, which means it will calculate the mean temperature for each sensor separately. The alert will trigger when the mean temperature for any sensor is greater than 120, which means at least one sensor had an average temperature above 120 for three consecutive minutes. The alert will stop when the mean temperature for all sensors drops below 120.

QUESTION 5

A junior developer complains that the code in their notebook isn't producing the correct results in the development environment. A shared screenshot reveals that while they're using a notebook versioned with Databricks Repos, they're using a personal branch that contains old logic. The desired branch named dev-2.3.9 is not available from the branch selection dropdown.

Which approach will allow this developer to review the current logic for this notebook?

- A. Use Repos to make a pull request use the Databricks REST API to update the current branch to dev-2.3.9
- B. Use Repos to pull changes from the remote Git repository and select the dev-2.3.9 branch.
- C. Use Repos to checkout the dev-2.3.9 branch and auto-resolve conflicts with the current branch
- D. Merge all changes back to the main branch in the remote Git repository and clone the repo again
- E. Use Repos to merge the current branch and the dev-2.3.9 branch, then make a pull request to sync with the remote repository

Answer: B

Explanation:

This is the correct answer because it will allow the developer to update their local repository with the latest changes from the remote repository and switch to the desired branch. Pulling changes will not affect the current branch or create any conflicts, as it will only fetch the changes and not merge them. Selecting the dev-2.3.9 branch from the dropdown will checkout that branch and display its contents in the notebook.

QUESTION 6

The security team is exploring whether or not the Databricks secrets module can be leveraged for connecting to an external database.

After testing the code with all Python variables being defined with strings, they upload the password to the secrets module and configure the correct permissions for the currently active



user. They then modify their code to the following (leaving all other variables unchanged).

```
password = dbutils.secrets.get(scope="db_creds", key="jdbc_password")
print(password)
df = (spark
    .read
    .format("jdbc")
    .option("url", connection)
    .option("dbtable", tablename)
    .option("user", username)
    .option("password", password)
    .)
```

Which statement describes what will happen when the above code is executed?

- A. The connection to the external table will fail; the string "redacted" will be printed.
- B. An interactive input box will appear in the notebook; if the right password is provided, the connection will succeed and the encoded password will be saved to DBFS.
- C. An interactive input box will appear in the notebook; if the right password is provided, the connection will succeed and the password will be printed in plain text.
- D. The connection to the external table will succeed; the string value of password will be printed in plain text.
- E. The connection to the external table will succeed; the string "redacted" will be printed.

Answer: E

Explanation:

This is the correct answer because the code is using the dbutils.secrets.get method to retrieve the password from the secrets module and store it in a variable. The secrets module allows users to securely store and access sensitive information such as passwords, tokens, or API keys. The connection to the external table will succeed because the password variable will contain the actual password value. However, when printing the password variable, the string "redacted" will be displayed instead of the plain text password, as a security measure to prevent exposing sensitive information in notebooks.

QUESTION 7

The data science team has created and logged a production model using MLflow. The following code correctly imports and applies the production model to output the predictions as a new DataFrame named preds with the schema "customer_id LONG, predictions DOUBLE, date DATE".



from pyspark.sql.functions import current_date

```
model = mlflow.pyfunc.spark_udf(spark, model_uri="models:/churn/prod")
df = spark.table("customers")
columns = ["account_age", "time_since_last_seen", "app_rating"]
preds = (df.select(
    "customer_id",
    model(*columns).alias("predictions"),
    current_date().alias("date")
    )
```

The data science team would like predictions saved to a Delta Lake table with the ability to compare all predictions across time. Churn predictions will be made at most once per day.

Which code block accomplishes this task while minimizing potential compute costs?

```
A. preds.write.mode("append").saveAsTable("churn_preds")
```

- B. preds.write.format("delta").save("/preds/churn_preds")
- C. (preds.writeStream

```
.outputMode("overwrite")
.option("checkpointPath", "/_checkpoints/churn_preds")
.start("/preds/churn_preds")
)
D. (preds.write
.format("delta")
.mode("overwrite")
.saveAsTable("churn_preds")
)
E. (preds.writeStream
.outputMode("append")
.option("checkpointPath", "/_checkpoints/churn_preds")
.table("churn_preds")
)
```



QUESTION 8

An upstream source writes Parquet data as hourly batches to directories named with the current date. A nightly batch job runs the following code to ingest all data from the previous day as indicated by the date variable:



```
(spark.read
  .format("parquet")
  .load(f"/mnt/raw_orders/{date}")
  .dropDuplicates(["customer_id", "order_id"])
  .write
  .mode("append")
  .saveAsTable("orders")
)
```

Assume that the fields customer_id and order_id serve as a composite key to uniquely identify each order.

If the upstream system is known to occasionally produce duplicate entries for a single order hours apart, which statement is correct?

- A. Each write to the orders table will only contain unique records, and only those records without duplicates in the target table will be written.
- B. Each write to the orders table will only contain unique records, but newly written records may have duplicates already present in the target table.
- C. Each write to the orders table will only contain unique records; if existing records with the same key are present in the target table, these records will be overwritten.
- D. Each write to the orders table will only contain unique records; if existing records with the same key are present in the target table, the operation will tail.
- E. Each write to the orders table will run deduplication over the union of new and existing records, ensuring no duplicate records are present.

Answer: B

Explanation:

This is the correct answer because the code uses the dropDuplicates method to remove any duplicate records within each batch of data before writing to the orders table. However, this method does not check for duplicates across different batches or in the target table, so it is possible that newly written records may have duplicates already present in the target table. To avoid this, a better approach would be to use Delta Lake and perform an upsert operation using mergeInto.

QUESTION 9

A junior member of the data engineering team is exploring the language interoperability of Databricks notebooks. The intended outcome of the below code is to register a view of all sales that occurred in countries on the continent of Africa that appear in the geo_lookup table.

Before executing the code, running SHOW TABLES on the current database indicates the database contains only two tables: geo_lookup and sales.



Cmd 1

```
%python
countries_af = [x[0] for x in
spark.table("geo_lookup").filter("continent='AF'").select("country").collect()]
```

Cmd 2

```
%sql
CREATE VIEW sales_af AS
SELECT *
FROM sales
WHERE city IN countries_af
AND CONTINENT = "AF"
```

Which statement correctly describes the outcome of executing these command cells in order in an interactive notebook?

- A. Both commands will succeed. Executing show tables will show that countries at and sales at have been registered as views.
- B. Cmd 1 will succeed. Cmd 2 will search all accessible databases for a table or view named countries af: if this entity exists, Cmd 2 will succeed.
- C. Cmd 1 will succeed and Cmd 2 will fail, countries at will be a Python variable representing a PySpark DataFrame.
- D. Both commands will fail. No new variables, tables, or views will be created.
- E. Cmd 1 will succeed and Cmd 2 will fail, countries at will be a Python variable containing a list of strings.

Answer: E

Explanation:

This is the correct answer because Cmd 1 is written in Python and uses a list comprehension to extract the country names from the geo_lookup table and store them in a Python variable named countries af. This variable will contain a list of strings, not a PySpark DataFrame or a SQL view. Cmd 2 is written in SQL and tries to create a view named sales af by selecting from the sales table where city is in countries af. However, this command will fail because countries af is not a valid SQL entity and cannot be used in a SQL query. To fix this, a better approach would be to use spark.sql() to execute a SQL query in Python and pass the countries af variable as a parameter.

QUESTION 10

A Delta table of weather records is partitioned by date and has the below schema:

date DATE, device id INT, temp FLOAT, latitude FLOAT, longitude FLOAT

To find all the records from within the Arctic Circle, you execute a query with the below filter:

latitude > 66.3

Which statement describes how the Delta engine identifies which files to load?

- A. All records are cached to an operational database and then the filter is applied
- B. The Parquet file footers are scanned for min and max statistics for the latitude column
- C. All records are cached to attached storage and then the filter is applied



- D. The Delta log is scanned for min and max statistics for the latitude column
- E. The Hive metastore is scanned for min and max statistics for the latitude column

Answer: D

Explanation:

This is the correct answer because Delta Lake uses a transaction log to store metadata about each table, including min and max statistics for each column in each data file. The Delta engine can use this information to quickly identify which files to load based on a filter condition, without scanning the entire table or the file footers. This is called data skipping and it can improve query performance significantly. Verified Reference: [Databricks Certified Data Engineer Professional], under "Delta Lake" section; [Databricks Documentation], under "Optimizations - Data Skipping" section.

In the Transaction log, Delta Lake captures statistics for each data file of the table. These statistics indicate per file:

- Total number of records
- Minimum value in each column of the first 32 columns of the table
- Maximum value in each column of the first 32 columns of the table
- Null value counts for in each column of the first 32 columns of the table

When a query with a selective filter is executed against the table, the query optimizer uses these statistics to generate the query result. it leverages them to identify data files that may contain records matching the conditional filter.

For the SELECT query in the question, The transaction log is scanned for min and max statistics for the price column.

QUESTION 11

The data engineering team has configured a job to process customer requests to be forgotten (have their data deleted). All user data that needs to be deleted is stored in Delta Lake tables using default table settings.

The team has decided to process all deletions from the previous week as a batch job at 1am each Sunday. The total duration of this job is less than one hour. Every Monday at 3am, a batch job executes a series of VACUUM commands on all Delta Lake tables throughout the organization.

The compliance officer has recently learned about Delta Lake's time travel functionality. They are concerned that this might allow continued access to deleted data.

Assuming all delete logic is correctly implemented, which statement correctly addresses this concern?

- A. Because the vacuum command permanently deletes all files containing deleted records, deleted records may be accessible with time travel for around 24 hours.
- B. Because the default data retention threshold is 24 hours, data files containing deleted records will be retained until the vacuum job is run the following day.
- C. Because Delta Lake time travel provides full access to the entire history of a table, deleted records can always be recreated by users with full admin privileges.
- D. Because Delta Lake's delete statements have ACID guarantees, deleted records will be permanently purged from all storage systems as soon as a delete job completes.
- E. Because the default data retention threshold is 7 days, data files containing deleted records will be retained until the vacuum job is run 8 days later.





Answer: E Explanation:

https://learn.microsoft.com/en-us/azure/databricks/delta/vacuum

QUESTION 12

A junior data engineer has configured a workload that posts the following JSON to the Databricks REST API endpoint 2.0/jobs/create.

```
{
  "name": "Ingest new data",
  "existing_cluster_id": "6015-954420-peace720",
  "notebook_task": {
    "notebook_path": "/Prod/ingest.py"
  }
}
```

Assuming that all configurations and referenced resources are available, which statement describes the result of executing this workload three times?

- A. Three new jobs named "Ingest new data" will be defined in the workspace, and they will each run once daily.
- B. The logic defined in the referenced notebook will be executed three times on new clusters with the configurations of the provided cluster ID.
- C. Three new jobs named "Ingest new data" will be defined in the workspace, but no jobs will be executed.
- D. One new job named "Ingest new data" will be defined in the workspace, but it will not be executed.
- E. The logic defined in the referenced notebook will be executed three times on the referenced existing all purpose cluster.

Answer: C

Explanation:

Databricks jobs create will create a new job with the same name each time it is run. In order to overwrite the extsting job you need to run databricks jobs reset

QUESTION 13

An upstream system is emitting change data capture (CDC) logs that are being written to a cloud object storage directory. Each record in the log indicates the change type (insert, update, or delete) and the values for each field after the change. The source table has a primary key identified by the field pk_id.

For auditing purposes, the data governance team wishes to maintain a full record of all values that have ever been valid in the source system. For analytical purposes, only the most recent value for each record needs to be recorded. The Databricks job to ingest these records occurs once per hour, but each individual record may have changed multiple times over the course of an hour.

Which solution meets these requirements?

A. Create a separate history table for each pk_id resolve the current state of the table by running a



union all filtering the history tables for the most recent state.

- B. Use merge into to insert, update, or delete the most recent entry for each pk id into a bronze table, then propagate all changes throughout the system.
- C. Iterate through an ordered set of changes to the table, applying each in turn; rely on Delta Lake's versioning ability to create an audit log.
- D. Use Delta Lake's change data feed to automatically process CDC data from an external system, propagating all changes to all dependent tables in the Lakehouse.
- E. Ingest all log information into a bronze table; use merge into to insert, update, or delete the most recent entry for each pk id into a silver table to recreate the current table state.

Answer: E

Explanation:

CDF captures changes only from a Delta table and is only forward-looking once enabled. The CDC logs are writing to object storage. So you would need to ingestion those and merge into downstream tables.

QUESTION 14

An hourly batch job is configured to ingest data files from a cloud object storage container where each batch represent all records produced by the source system in a given hour. The batch job to process these records into the Lakehouse is sufficiently delayed to ensure no late-arriving data is missed. The user id field represents a unique key for the data, which has the following schema:

user id BIGINT, username STRING, user utc STRING, user region STRING, last login BIGINT, auto_pay BOOLEAN, last_updated BIGINT

New records are all ingested into a table named account history which maintains a full record of all data in the same schema as the source. The next table in the system is named account current and is implemented as a Type 1 table representing the most recent value for each unique user_id.

Assuming there are millions of user accounts and tens of thousands of records processed hourly. which implementation can be used to efficiently update the described account current table as part of each hourly batch job?

- A. Use Auto Loader to subscribe to new files in the account history directory: configure a Structured Streaming trigger once job to batch update newly detected files into the account current table.
- B. Overwrite the account current table with each batch using the results of a query against the account history table grouping by user id and filtering for the max value of last updated.
- C. Filter records in account history using the last updated field and the most recent hour processed, as well as the max last jogin by user id write a merge statement to update or insert the most recent value for each user id.
- D. Use Delta Lake version history to get the difference between the latest version of account history and one version prior, then write these records to account current.
- E. Filter records in account history using the last updated field and the most recent hour processed, making sure to deduplicate on username; write a merge statement to update or insert the most recent value for each username.

Answer: C

Explanation:

This is the correct answer because it efficiently updates the account current table with only the most recent value for each user id. The code filters records in account history using the last updated field and the most recent hour processed, which means it will only process the latest batch of data. It also filters by the max last login by user id, which means it will only keep the most



recent record for each user id within that batch. Then, it writes a merge statement to update or insert the most recent value for each user id into account current, which means it will perform an upsert operation based on the user id column.

QUESTION 15

A table in the Lakehouse named customer_churn_params is used in churn prediction by the machine learning team. The table contains information about customers derived from a number of upstream sources. Currently, the data engineering team populates this table nightly by overwriting the table with the current valid values derived from upstream data sources.

The churn prediction model used by the ML team is fairly stable in production. The team is only interested in making predictions on records that have changed in the past 24 hours.

Which approach would simplify the identification of these changed records?

- A. Apply the churn model to all rows in the customer_churn_params table, but implement logic to perform an upsert into the predictions table that ignores rows where predictions have not changed.
- B. Convert the batch job to a Structured Streaming job using the complete output mode; configure a Structured Streaming job to read from the customer_churn_params table and incrementally predict against the churn model.
- C. Calculate the difference between the previous model predictions and the current customer_churn_params on a key identifying unique customers before making new predictions; only make predictions on those customers not in the previous predictions.
- D. Modify the overwrite logic to include a field populated by calling spark.sql.functions.current_timestamp() as data are being written; use this field to identify records written on a particular date.
- E. Replace the current overwrite logic with a merge statement to modify only those records that have changed; write logic to make predictions on the changed records identified by the change data feed.

Answer: E

Explanation:

The approach that would simplify the identification of the changed records is to replace the current overwrite logic with a merge statement to modify only those records that have changed, and write logic to make predictions on the changed records identified by the change data feed. This approach leverages the Delta Lake features of merge and change data feed, which are designed to handle upserts and track row-level changes in a Delta table. By using merge, the data engineering team can avoid overwriting the entire table every night, and only update or insert the records that have changed in the source data. By using change data feed, the ML team can easily access the change events that have occurred in the customer_churn_params table, and filter them by operation type (update or insert) and timestamp. This way, they can only make predictions on the records that have changed in the past 24 hours, and avoid re-processing the unchanged records.



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